

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of :  
Shigeaki AOKI et al. : **Mail Stop: PCT**  
Serial No. NEW : Attorney Docket No. 2006-0527A  
Filed April 19, 2006 :  
GLASS COMPOSITION AND METHOD  
FOR PRODUCING GLASS ARTICLE : THE COMMISSIONER IS AUTHORIZED  
[Corresponding to PCT/JP2004/015763 TO CHARGE ANY DEFICIENCY IN THE  
Filed October 19, 2004] FEE FOR THIS PAPER TO DEPOSIT  
ACCOUNT NO. 23-0975.

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 CFR 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed, except a copy is not provided for:

- ☒ each U.S. Patent and U.S. Patent application publication;
- ☐ each reference previously cited in the international application PCT/\_\_\_\_\_; and/or
- ☐ each reference previously cited in prior parent application Serial No.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, or

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

**and thus no certification and/or fee is required.**

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

(1) ☐ the certification of paragraph 2 below is provided, **or**

(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and**

**the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or

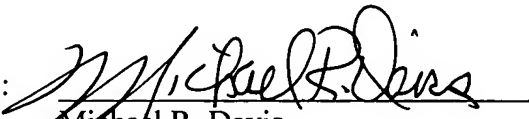
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:
- a. ☐ a full or partial English language translation submitted herewith,
  - b. ☒ a foreign patent office search report (International Search Report) (in the English language) submitted herewith,
  - c. ☐ the concise explanation contained in the specification of the present application at page,
  - d. ☒ the concise explanation set forth in the attached English language abstract,
  - e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report (International Search Report) citing one or more of the references is enclosed.
6. ☒ Comments:

The US '738 reference cited on the attached PTO-1449 form corresponds to the JP '763 reference cited on this form.

Respectfully submitted,

Shigeaki AOKI et al.

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April 19, 2006

Sheet 1 of 1								INFORMATION DISCLOSURE STATEMENT					
FORM PTO 1449 (modified)  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  LIST OF REFERENCES CITED BY APPLICANT(S) <i>(Use several sheets if necessary)</i>  Date Submitted to PTO: April 19, 2006				ATTY DOCKET NO. 2006-0527A		SERIAL NO. NEW <span style="font-size: 1.5em; font-weight: bold;">10/576291</span>		APPLICANT Shigeaki AOKI et al.		FILING DATE April 19, 2006		GROUP	
U.S. PATENT DOCUMENTS													
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE						
	AA	5,642,738	7/1997	Lilly, Jr.									
	AB												
	AC												
	AD												
	AE												
	AF												
	AG												
	AH												
	AI												
FOREIGN PATENT DOCUMENTS													
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO						
	AJ	2003-335526	11/2003	Japan									
	AK	2001-520763	10/2001	Japan									
	AL	9-15147	1/1997	Japan									
	AM	2004-91307	3/2004	Japan									
	AN	62-223028	10/1987	Japan									
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)													
	AO												
	AP												
	AQ												
EXAMINER					DATE CONSIDERED								

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of form with next communication to applicant.